

## Tap into Critical Risk & Compliance Datasets Powered by Info4C

PEPs, sanctions, watchlist and blacklist data delivered through Nexis® Data as a Service



Compliance should move quickly to execute its strategy via larger-scale transformation, tapping technologies such as Artificial Intelligence (AI), Robotic Process Automation (RPA) and Regulatory Technology (RegTech).<sup>1</sup>

2019 GLOBAL COMPLIANCE RISK STUDY

Organisations across many industries face increased regulatory scrutiny combined with pressure to mitigate risk more efficiently and effectively.



AI, RPA, and a variety of RegTech applications can help you meet those goals, but the value of risk and compliance analytics depends on having the right fuel.

High-quality compliance data enables organisations to address a variety of legislative requirements, including Know Your Customer (KYC), Anti Money Laundering (AML), Anti Bribery and Corruption (ABC) and prevention of terrorism financing and fraud.

Providing extensive global coverage, the Info4C datasets offered by Nexis® Data as a Service feature a flexible, normalised structure that is ideal for integration into proprietary and third-party risk management applications.

**1.4M**

**Politically Exposed Persons**

**1,400+**

**Blacklists & Watchlists**

**240**

**Countries & Territories**

With datasets that capture timely information regarding Politically Exposed Persons (PEPs), sanctions, blacklists and watchlists, organisations are better positioned to fulfill regulatory expectations, reduce false positives and lower risk exposure.

### **Integrate critical data into risk management applications**

Accelerate on-boarding, due diligence and risk monitoring processes with timely data, securely delivered using industry-standard HTTPS protocol, strong user authentication protocol and rate limiting of transactions for a predictable API experience.

Compiled from regulators, national and international institutions, and other relevant organisations, these datasets can help organisations mitigate the potential repercussions of inadvertently doing business with PEPs, as well as individuals and entities that are subject to financial sanctions, blacklists or watchlists.

With options for bulk download for customer-side hosting or query-based requests via a RESTful API, you can mitigate compliance risk with greater efficiency and effectiveness.



### **Politically Exposed Persons**

Continuously updated data covers more than one million PEPs, including:

- Heads of state
- Cabinet members and ministerial staff
- Members of the parliament
- Family members of and closely associated persons to PEPs
- Highest members of the judiciary
- National bank governor and members
- Political and religious leaders
- Military officials
- Senior executives of state-owned companies
- Directors of international organisations
- Ambassadors, consuls, diplomats
- Regional PEPs
- Ex-PEPs



### Sanctions Lists

Comprehensive sanctions list data encompasses descriptions, publication dates and publishing authorities, including:

- United Nations economic and trade sanctions, as well as related embargos, travel bans and diplomatic restrictions
- European Union financial sanctions encompassing measures reinforcing UN sanctions, along with autonomous sanctions established by the EU Credit Sector Federation
- Swiss Federal Act on the Implementation of International Sanctions (Embargo Act) including data from the State Secretariat for Economic Affairs (SECO) and the Federal Department of Foreign Affairs (FDFA) of Switzerland
- United States Office of Foreign Assets Control (OFAC) including Specially Designated Nationals (SDNs) List, Consolidated Sanctions List, and other OFAC lists
- U.S. Department of the Treasury, FinCEN bureau lists to combat money laundering and promote national security
- Additional restrictions designated by the U.S. Department of State



### Blacklists & Watchlists

Blacklists and watchlists data compiles 1,400 relevant lists from over 95 countries, including:

- Lists of anti-money laundering and anti-corruption entities
- Notices, consumer protection publications and warning lists by financial authorities around the globe
- Disqualified directors, debarred companies, sanctioned entities and individuals
- Special nation or topic-related lists (e.g. mafia associated persons, companies using slave labor etc.)
- Lists from international tribunals, international warrants, national wanted persons, regional warnings by ministries, police departments and others


### Get the compliance data you need


Timely PEPs, sanctions, blacklists and watchlists data helps companies manage compliance risk with greater confidence. Organisations can make the most of process automation and machine learning to enable high-volume screening and monitoring of customers, suppliers, partners and other third parties that organisations rely on.

**Valuable compliance-related data, powered by Info4C, is just one of the CORE advantages of Nexis Data as a Service.**

**Connect with us to learn more.**

 [internationalsales.lexisnexis.com/daas](https://internationalsales.lexisnexis.com/daas)

 [information@lexisnexis.com](mailto:information@lexisnexis.com)

 +31 20 485 3456