



Anti-Bribery & Corruption Risk Management

Globalisation offers a multitude of opportunities for companies. But customers, suppliers and other third parties can expose organisations to risks of bribery and corruption. In the first half of 2020 alone, \$2.45 billion fines were issued for bribery under the US Foreign and Corrupt Practices Act. The companies receiving these fines did not just suffer legal and financial penalties, but also reputational and strategic damage.

How can companies develop strategic international business relationships while mitigating these bribery and corruption risks? The most important step is to understand the level of risk posed by the third parties your company relies on. There are two main ways to do this: access to relevant data to assess the level and types of third-party risk you face, and use of technology to filter the most useful insights from high volumes of that data. Nexis® Solutions can help.

Regulatory Risk



Business Integrity



Key Person Risks



Ownership Risk



Country Risk



Strengthen your anti-bribery & corruption risk management workflow

Our flexible technologies and vast content universe—alone or in combination—allow organisations to implement effective due diligence and third-party monitoring with customisable, off-the-shelf solutions or by delivering relevant datasets into in-house risk management applications.

Nexis Diligence™

Conduct risk-aligned due diligence on customers, business partners, suppliers or other third parties across a world-leading content set spanning news, company, regulatory and legal sources and use the Report Builder to maintain a clear audit trail.

Nexis® Entity Insight

Establish on-going monitoring across critical third parties and get an at-a-glance view of emerging risk from a convenient dashboard that lets you drill down to the data behind the visualisations.

Nexis® Data as a Service

Use our flexible APIs to tap into our unrivalled content universe to enhance your risk management workflow and drive meaningful insights.

How can Nexis Solutions help you address different types of risk?

Organisations can enhance their risk management workflows with data and technologies to suit their specific risk considerations. Read on for highlights on how Nexis Solutions data and technologies align to key areas of risk.*

Regulatory Risk



Companies need to establish if a third party has previously faced enforcement action for bribery and corruption.

DATA

1,400+ sources of domestic and global sanctions, watchlists, and blacklists from enforcement agencies in 240 countries

TECHNOLOGY

NEXIS DILIGENCE

Accelerate regulatory due diligence with optional Robotic Process Automation (RPA) integration of Blue Prism® digital workforce within Nexis Diligence, leaving more time for high-value analysis and decision making.

NEXIS ENTITY INSIGHT

Automatically surface changes in sanctions related to monitored entities.

NEXIS DATA AS A SERVICE

Enable integration of sanctions data into in-house risk management or analytics applications.

Business Integrity Risk



A key compliance step when assessing a prospective third party is to establish that they are who they claim to be and legally exist in the relevant jurisdiction.

DATA

Legal entity data from OpenCorporates to help establish a third party's legal name against a database of 165 million companies and 220 million officerships in 130 jurisdictions*

* OpenCorporates legal entity data is subject to an add-on agreement.

TECHNOLOGY

NEXIS DILIGENCE

Conduct a single search across news, company and legal sources, then use powerful post-search filters to quickly find bankruptcy reports, lawsuits or negative news mentions that could indicate issues related to business integrity.

NEXIS ENTITY INSIGHT

Set up Alerts or RSS feeds for entities you monitor to keep up with changes in a company's status automatically.

NEXIS DATA AS A SERVICE

Achieve more targeted data calls thanks to entity extraction and LexisNexis® SmartIndexing Technology™ that applies tags related to 350K companies and 3,867+ Industry topics.

Key Person Risk



Companies need to understand if a third party is controlled by or associated with a PEP, because their public positions mean greater exposure to bribery risk and regulatory scrutiny.

DATA

1.5 million profiles of PEPs, their family members, and close associates

TECHNOLOGY

NEXIS DILIGENCE

Uncover critical mentions of key personnel with name matching technology that connects the entered person with alternative spellings, nicknames, and associated persons with a shared name, such as a spouse.

NEXIS ENTITY INSIGHT

Visualise news volume related to monitored individuals and entities, color-coded by PESTLE risk factor. Simply click on a category to see the articles behind the chart.

NEXIS DATA AS A SERVICE

Deliver archival or current PEPs data into in-house risk management or analytics applications.

Ownership Risk



In the wake of the Panama Papers, companies face more pressure to identify the Ultimate Beneficial Owner of entities to avoid inadvertent associations with corrupt activities.

DATA

Dun & Bradstreet UBO data on the ownership of hundreds of millions of businesses and commercial entities worldwide based on analysis of 97 million shareholders, 150+ million connections, and 355+ million business records.

Corporate hierarchies and profiles on 2 million public and private companies that drive the global economy from LexisNexis® Corporate Affiliations

TECHNOLOGY

NEXIS DILIGENCE

Capture a clear understanding of who owns whom during due diligence with an expanded Tree View of the full beneficial ownership structure.

NEXIS ENTITY INSIGHT

Monitor for financial risk and quickly see when a critical customer, business partner or vendor is subject to delinquency or stability risk or a recent bankruptcy from the color-coded indicators.

NEXIS DATA AS A SERVICE

Take advantage of data that has been enriched through entity extraction, and industry and company metadata tags.

Country Risk



Building relationships with third parties in a new market raises the risk of bribery and corruption when a particular country has a higher level of entrenched corruption.

DATA

CEEMarketWatch reports covering 60 countries, including emerging markets in Asia, Eastern and Central Europe, Middle East/Africa, and Latin America

TECHNOLOGY

NEXIS DILIGENCE

Maintain an audit trail of your due diligence with an easy-to-use Report Builder that lets you save and add commentary to critical findings, including related country reports.

NEXIS ENTITY INSIGHT

Adjust the PESTLE scoring weights to the most pressing risk considerations based on a monitored entity's country of operation.

NEXIS DATA AS A SERVICE

Take advantage of our adverse news feed which captures news coverage from countries around the world, in their native languages,

* Not a comprehensive list of data and technologies available. Data and technology availability varies by product. Consult with a Nexis Solutions specialist for more details.

About Nexis Solutions

Nexis® Solutions, part of LexisNexis® Legal & Professional, offers innovative technology with an unrivalled content collection of global news, business, market, and legal information. Our flexible solutions empower companies to:

- Establish and maintain trusted relationships with customers, suppliers and other third parties
- Monitor for signs of emerging threats across PESTLE factors
- Integrate targeted news, sanctions, watchlists and PEPs data into proprietary systems and AI applications
- Gain valuable insights into risks and opportunities to support informed decision-making

Because good profit comes from making the right strategic decisions.

Regulatory Risk



Business Integrity



Key Person Risks



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To be relevant, information needs to be up to date. This means that the risk assessment must involve the right people, draw on appropriate other sources of information and be repeated-or refreshed-on a regular basis.

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For more information, contact your Nexis Solutions representative or reach out to us directly:

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¹Diagnosing Bribery Risk." Transparency International UK. 2013. Accessed at: <https://bit.ly/3dPx20F>